



Guidelines on Anti-Corruption Policy: Prevention of Insider Information Misuse

**Amarin Corporations Public Company Limited
and Group of Companies**



Media and Event Business



Publishing Business



Printing and Packaging Business



Broadcasting Business



AMARIN BOOK CENTER
Omni-Channel Commerce



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Amarin Corporations Public Company Limited and Group of Companies

Guidelines on Anti-Corruption Policy: Prevention of Insider Information Misuse

Amarin Corporations Public Company Limited and its Group of Companies (collectively referred to as the “Amarin Group”) place importance on ensuring that all operations are conducted with equality and fairness to all groups of shareholders. Insider information or material news that could affect the price of securities and has not yet been disclosed to the public is considered insider information and is treated as confidential information of the Group. Therefore, directors, executives, and employees at all levels within the Group have a duty to maintain the confidentiality of insider information and must not disclose such information acquired in the course of their duties to others, nor use such information to seek profit or benefits through improper securities trading or actions that may cause the Group to suffer losses, whether directly or indirectly. Additionally, the Company respects the privacy rights of stakeholders. Personal data and sensitive personal data of individuals involved in the business, employees, and other stakeholders must be protected from unauthorized use, disclosure, or transfer to other parties in a manner that would violate legal rights. The principles and guidelines in this regard are as follows:

1. Trading of the Company’s Securities Using Insider Information

- (1) Directors, executives, and the Company’s auditors are required to report their securities holdings to the Board of Directors to ensure that shareholders and general investors can be confident that the Company’s directors and executives conduct business with honesty, integrity, transparency, and accountability.
- (2) Directors, executives (as defined by the SEC), and senior executives of the Company and its subsidiaries are required to report changes in their holdings of the Company’s securities to the Company Secretary at least one (1) day in advance prior to executing a transaction in accordance with good corporate governance practices. They must also submit reports to the SEC within three (3) business days from the date of purchase, sale, transfer, or receipt of transfer of such securities through the electronic channels specified by the SEC. The Company Secretary will prepare a summary report for the Board of Directors and disclose the information in the Annual Report using **Form 3: Report on Changes in Securities Holdings and Derivatives Transactions of a Securities-Issuing Company / Underlying Securities (Form 59)**.
- (3) Directors and executives of the Group are prohibited from trading the Company’s securities during the period of one (1) month prior to the disclosure of the financial statements and five (5) days after the public disclosure of such information. The Company will notify directors and executives of the Group of the blackout periods for trading the Company’s securities every quarter.

- (4) Directors, executives, and employees are prohibited from using opportunities or information obtained through their positions as directors, executives, or employees for personal gain and from using insider information for their own benefit or providing such information to others for the purpose of trading the Company's securities.

2. Confidentiality of Information

- (1) Directors, executives, and employees have a duty to strictly maintain the confidentiality of the Group's information, particularly insider information that has not yet been disclosed to the public or information that may impact the Group's business operations or the Company's share price.
- (2) Directors, executives, and employees are obligated not to disclose confidential information, documents, or the Group's trade secrets obtained during the performance of their duties, such as business plans, technology, inventions, designs, maps, figures, or formulas, which are the property of the Group. Those who have access to such information must not disclose it to external parties, especially competitors, even after ceasing to be directors, executives, or employees of the Group, for a period of two (2) years after termination of their position.
- (3) To prevent the use of insider information for personal gain, which would constitute a breach of duties owed to the Company and its shareholders, the Company has established confidentiality classifications for information. Important information that has not yet been disclosed to the public or information that may impact the Group's business operations or the Company's share price will be restricted to only relevant directors and senior executives.
- (4) The Group's trade secrets or important documents must be securely stored and protected against leaks according to the significance of the information, such as public information, confidential information, secret information, or highly confidential information.
- (5) Information and documents of the Group must be prepared in good faith, with care, and in accordance with established standards within the scope of assigned duties and responsibilities. Additionally, the sharing of insider information within departments or within the Group must be confined to the scope of assigned duties and responsibilities only.

3. Disclosure of Information and Providing Information, News, and Opinions to External Parties

- (1) The Company shall disclose material information to the public accurately, transparently, promptly, and equitably.
- (2) The Company avoids providing material, undisclosed information to the general public, journalists, analysts, or other persons. Any material information that has not yet been disclosed to the public must receive approval from the Chairman of the Executive Committee or the Chief Executive Officer before disclosure. The Chairman of the Executive Committee or the Chief Executive Officer may choose to disclose the information personally or assign the Investor Relations unit or relevant personnel to

disclose the information. For information related to joint ventures, the Company must obtain prior approval from the joint venture partner before disclosure, in accordance with the conditions stipulated in the joint venture agreement. All disclosures by the Company must be made in compliance with the regulations prescribed by the SEC and the Stock Exchange of Thailand.

- (3) In cases where shareholders or investors request information from the Company, it shall be the responsibility of Investor Relations, the Company Secretary, the Legal and Compliance Office, the Internal Audit Department, or other units as assigned by the Chairman of the Executive Committee or the Chief Executive Officer to respond. Only information that has already been disclosed to the public shall be provided, and such responses must comply with the regulations prescribed by the SEC and the Stock Exchange of Thailand.
- (4) In cases where employees are asked to disclose information or provide opinions on matters outside their scope of responsibility, they should politely decline to provide comments and refer the inquirer to the unit assigned and responsible for information disclosure to ensure that the information and opinions provided are accurate and consistent.

Report on Changes in Securities Holdings and Derivatives Transactions of a Securities-Issuing Company / Underlying Securities (Form 59)

Amarin Corporations Public Company Limited

☐ Director

☐ Executive

☐ Company's Auditor

☐ Others (please specify):.....

Name: Position:

National ID Number:..... Phone Number:

Report Date:

Name of Securities and Derivatives Holder ¹	Type of Securities and Derivative Instruments	Transaction Date	Number of Securities and Derivatives Held Before the Transaction Date	Transaction Type ²		Number of Securities and Derivatives Held After the Transaction Date	Method of Transaction ³	Transaction conducted through Broker If the transaction involves trading securities on margin, please specify ⁴	Buyer/Transferee Please specify Name/Relationship ⁵
				Quantity	Average Price				
Prepared by	หุ้นสามัญ (common share)								
	หุ้นบุริมสิทธิ (preferred share)								
	ใบสำคัญแสดงสิทธิที่จะซื้อหุ้น (warrant)								
	ใบแสดงสิทธิในการซื้อหุ้นเพิ่มทุนที่โอนสิทธิได้ (transferable subscription right)								
	หุ้นกู้แปลงสภาพ (convertible debenture)								
	ใบสำคัญแสดงสิทธิอนุพันธ์ที่มีหลักทรัพย์ของบริษัทจดทะเบียนเป็นปัจจัยอ้างอิง (derivatives warrant)								
	ใบแสดงสิทธิในผลประโยชน์ที่เกิดจากหลักทรัพย์อ้างอิงไทย (NVDR)								
	สัญญาซื้อขายล่วงหน้าอ้างอิงราคาหรือผลตอบแทนในหุ้นของบริษัทจดทะเบียนที่มีการซื้อขายใน TFEX (stock futures)								
	หุ้นกู้ที่มีอนุพันธ์แฝง (structured debenture)								

Name of Securities and Derivatives Holder ¹	Type of Securities and Derivative Instruments	Transaction Date	Number of Securities and Derivatives Held Before the Transaction Date	Transaction Type ²		Number of Securities and Derivatives Held After the Transaction Date	Method of Transaction ³	Transaction conducted through Broker If the transaction involves trading securities on margin, please specify ⁴	Buyer/Transferee Please specify Name/Relationship ⁵
				Quantity	Average Price				
Spouse or cohabiting partner (as husband and wife) Name:	หุ้นสามัญ (common share)								
	หุ้นบุริมสิทธิ (preferred share)								
	ใบสำคัญแสดงสิทธิที่จะซื้อหุ้น (warrant)								
	ใบแสดงสิทธิในการซื้อหุ้นเพิ่มทุนที่โอนสิทธิได้ (transferable subscription right)								
	หุ้นกู้แปลงสภาพ (convertible debenture)								
	ใบสำคัญแสดงสิทธิอนุพันธ์ที่มีหลักทรัพย์ของ บริษัท จดทะเบียนเป็นปัจจัยอ้างอิง (derivatives warrant)								
	ใบแสดงสิทธิในผลประโยชน์ที่เกิดจากหลักทรัพย์ อ้างอิงไทย (NVDR)								
	สัญญาซื้อขายล่วงหน้าอ้างอิงราคาหรือผลตอบแทน ในหุ้นของบริษัทจดทะเบียน ที่มีการซื้อขายใน TFEX (stock futures)								
	หุ้นกู้ที่มีอนุพันธ์แฝง (structured debenture)								

Name of Securities and Derivatives Holder ¹	Type of Securities and Derivative Instruments	Transaction Date	Number of Securities and Derivatives Held Before the Transaction Date	Transaction Type ²		Number of Securities and Derivatives Held After the Transaction Date	Method of Transaction ³	Transaction conducted through Broker If the transaction involves trading securities on margin, please specify ⁴	Buyer/Transferee Please specify Name/Relationship ⁵
				Quantity	Average Price				
Minor children	หุ้นสามัญ (common share)								
1. Name:	หุ้นบุริมสิทธิ (preferred share)								
2. Name:	ใบสำคัญแสดงสิทธิที่จะซื้อหุ้น (warrant)								
3. Name:	ใบแสดงสิทธิในการซื้อหุ้นเพิ่มทุนที่โอนสิทธิได้ (transferable subscription right)								
	หุ้นกู้แปลงสภาพ (convertible debenture)								
	ใบสำคัญแสดงสิทธิอนุพันธ์ที่มีหลักทรัพย์ของ บริษัท จดทะเบียนเป็นปัจจัยอ้างอิง (derivatives warrant)								
	ใบแสดงสิทธิในผลประโยชน์ที่เกิดจากหลักทรัพย์ อ้างอิงไทย (NVDR)								
	สัญญาซื้อขายล่วงหน้าอ้างอิงราคาหรือ ผลตอบแทน ในหุ้นของบริษัทจดทะเบียน ที่มีการซื้อขายใน TFEX (stock futures)								
	หุ้นกู้ที่มีอนุพันธ์แฝง (structured debenture)								

Name of Securities and Derivatives Holder ¹	Type of Securities and Derivative Instruments	Transaction Date	Number of Securities and Derivatives Held Before the Transaction Date	Transaction Type ²		Number of Securities and Derivatives Held After the Transaction Date	Method of Transaction ³	Transaction conducted through Broker If the transaction involves trading securities on margin, please specify ⁴	Buyer/Transferee Please specify Name/Relationship ⁵
				Quantity	Average Price				
นิติบุคคลซึ่งผู้จัดทำ รายงาน คู่สมรสหรือผู้ที่อยู่กินด้วยกัน ฉันทามีภริยาและบุตรที่ยังไม่ บรรลุนิติภาวะถือหุ้นรวมกัน เกินร้อยละ 30 ของจำนวน สิทธิออกเสียงทั้งหมดและมี สัดส่วนการถือหุ้นมากที่สุดที่ใน นิติบุคคลนั้น 1. ชื่อ..... 2. ชื่อ..... 3. ชื่อ.....	หุ้นสามัญ (common share)								
	หุ้นบุริมสิทธิ (preferred share)								
	ใบสำคัญแสดงสิทธิที่จะซื้อหุ้น (warrant)								
	ใบแสดงสิทธิในการซื้อหุ้นเพิ่มทุนที่โอนสิทธิได้ (transferable subscription right)								
	หุ้นกู้แปลงสภาพ (convertible debenture)								
	ใบสำคัญแสดงสิทธิอนุพันธ์ที่มีหลักทรัพย์ของ บริษัท จดทะเบียนเป็นปัจจัยอ้างอิง (derivatives warrant)								
	ใบแสดงสิทธิในผลประโยชน์ที่เกิดจาก หลักทรัพย์ อ้างอิงไทย (NVDR)								
	สัญญาซื้อขายล่วงหน้าอ้างอิงราคาหรือ ผลตอบแทน ในหุ้นของบริษัทจดทะเบียน ที่มีการซื้อขายใน TFEX (stock futures)								
	หุ้นกู้ที่มีอนุพันธ์แฝง (structured debenture)								

Name of the person assigned to coordinate with the SEC:

1. Position: Company Secretary

2. (If any).....

I hereby certify that all information provided in this report is complete and true in all respects.

Signature

()

Position:

Company:

Date:.....



Guidelines for Completing Form 59

These guidelines are prepared to assist reporting persons in accurately completing the Form 59 regarding changes in securities and derivatives holdings. For accurate and complete reporting, reporters should also review and understand SEC Notification No. SorJor. 38/2561: Rules on Reporting of Changes in Securities and Derivatives Holdings by Directors, Executives, Auditors, Plan Preparers, and Plan Administrators, dated 16 July B.E. 2516 (1973) ("Notification SorJor. 38/2561").

1. Reporting must cover changes in securities and derivatives holdings by directors, executives, auditors, plan preparers, and plan administrators in relation to themselves, their spouses or domestic partners, minor children, and juristic persons in which they collectively hold more than 30% of the voting rights and the highest proportion of shares. The report must also include securities or derivatives held by nominees on their behalf, which should be disclosed separately from direct holdings.
2. If securities or derivatives are both acquired and disposed of on the same day, the report must present the total number acquired separately from the number disposed of. The average weighted price of the transactions must also be disclosed for each case. (For disposals, show the amount and price in parentheses.)
3. **Clearly state the method of acquiring or disposing of the securities or derivatives, such as purchases on the stock exchange, off-market transfers, inheritance, or recall of lent securities. Similarly, for disposals: sales on the stock exchange, off-market transfers, lending, or donations, etc.**
4. If the securities or derivatives were bought, sold, transferred, or received through a margin account, this must be explicitly stated.
5. If the transaction involves any of the following:
 - (1) Sales off-exchange at prices below the lowest market price on the trading day;
 - (2) Sales via the Big Lot board;
 - (3) Transfers without compensation;

Please provide additional details regarding the name(s) of the purchaser(s) or transferee(s) of the securities and/or derivatives, as well as the nature of the relationship with such person(s), such as:

- Family relationship, e.g., father, mother, full or half-sibling, paternal or maternal grandparent, uncle, aunt, etc.
- Authority or supervisory relationship, e.g., the purchaser or transferee is an employer or principal of the reporting person.
- Business relationship, e.g., joint venture partner or trading/business partner.
- Other types of relationships, as applicable.

In cases where there are multiple purchasers or transferees on the same transaction date, specify the quantity of securities and/or derivatives transferred to each person.

If the name of the purchaser or transferee is unknown, please provide an explanation.